

14042 U.S. PTO
013004

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

SETI-0001DIV

22264 U.S. PTO
10/768944

013004

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Muhammad Asif Khan et al., entitled NITRIDE-BASED HETEROSTRUCTURE DEVICES (as amended), for a(n):

() Original Patent Application.

(X) Continuing Application (prior application not abandoned):

() Continuation (X) Divisional () Continuation-in-part (CIP)

of prior Application No. 09/966,563, filed on 09/27/2001.

(X) A statement claiming priority under 35 USC § 120 has been added to the specification.

() A statement claiming priority under 35 USC § 119(e) has been added to the specification. (for provisional conv.)

Enclosed are:

(X) Specification; 21 Total Pages. (X) Drawing(s); 5 Total Sheets.

(X) Oath or Declaration:

() A Newly Executed Combined Declaration and Power of Attorney:

() Signed. () Unsigned. () Partially Signed.

(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

() Power of Attorney

(X) Return Receipt Postcard

() Associate Power of Attorney

(X) Check in the amount of \$ 385.00 for the Filing Fee

(X) Preliminary Amendment; 7 total pages

(X) Information Disclosure Citation (Form PTO-1449)

(copy from prior Appln. S/N 09/966,563)

() Certified Copy of Priority Documents

() Recordation Form Cover Sheet

(if foreign priority is claimed)

() Assignment in pgs.

(X) Applicant asserts small entity status

() Check in the amount of \$40 for the Recordation Fee

(X) Other: Transmittal of Formal Drawings; 8 sheets of 10 Formal Drawings

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	11	0	\$9.00	\$0.00
Independent Claims	3	0	\$43.00	\$0.00
Multiple Dependent Claim Fee (if applicable)				\$0.00
Assignment Recording Fee (if applicable)				\$0.00
Basic Filing Fee				\$385.00
Total Filing Fee				\$385.00

Please charge \$ ----- to Deposit Account No. 500999 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, the Commissioner is hereby authorized to charge any fees required or credit any overpayment to this Deposit Account. A duplicate copy of this sheet is enclosed for fee processing against this Deposit Account.

Respectfully submitted,

By: John W. LaBatt
John W. LaBatt, Attorney of Record, Reg. No. 48,301

Date: January 30, 2004

USPTO Customer No.: 23550

Address: Three E-Comm Square
Albany, New York 12207

Phone: (518) 449-0044

Fax: (518) 449-0047

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By: Beverly Kehoe Shea
Typed Name: Beverly Kehoe Shea

Express Mail Label No.: EV 393 299 438 US

Date of Deposit: January 30, 2004

TRANSMITTAL OF FORMAL DRAWINGSDocket No.
SETI-0001DIVIn Re Application Of: **Khan et al.**

Serial No.	Filing Date	Confirmation No.	Examiner	Art Unit
Not yet assigned	01/30/2004	Not yet assigned	Not yet assigned	Not yet assigned

Invention: **NITRIDE-BASED HETEROSTRUCTURE DEVICES (as amended)**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith are:

8 sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).*Signature*

John W. LaBatt
Reg. No. 48,301
Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, NY 12207
(518) 449-0044
Customer No. 23550

Dated: January 30, 2004

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: 

Typed Name: Beverly Kehoe Shea

Express Mail Label No.: EV 393 299 438 US
Date of Deposit: January 30 2004